



Audit Report

For

Egyptian Ship Repair & Building Company (Ministry of defense)

Surveillance 2 (SV2)

Audit Dates: 17/11/2024 to 18/11/2024

Organization Details

Company:	Egyptian Ship Repair & Building Company (Ministry of defense)
Address:	Gate #1, Custom Zone, Alexandria, Egypt
Contact Person:	Ossama Mohamed
Email:	quality@esrbc.net
Audit Criteria:	ISO 9001:2015 / ISO 14001:2015 / ISO 45001:2018
EA Code:	20,29
Scope:	Ship building, repair and maintenance Steel structure manufacturing Critical spare part fabrication (propellers, propellers shaft, rudders, rudder stock, coolers)
No. of Sites:	1

Sites

Site Name	Location
Same	Same

Audit Objectives

Surveillance audits are on-site audits, but are not necessarily full system audits, and shall be planned together with the other surveillance activities so that GCB can maintain confidence that the client's certified management system continues to fulfil requirements between recertification audits. Each surveillance for the relevant management system standard shall include:

- a) internal audits and management review;
- b) a review of actions taken on nonconformities identified during the previous audit;
- c) complaints handling;
- d) effectiveness of the management system with regard to achieving the certified client's objectives and the intended results of the respective management system(s);
- e) progress of planned activities aimed at continual improvement;
- f) continuing operational control;
- g) review of any changes;
- h) use of marks and/or any other reference to certification.

Auditors

Auditor Name	Role
Adel Belal	Lead Auditor

Ahmed Keshk	Auditor
Mohamed Fouad	Auditor
Islam Abdallah	Auditor

Auditee Members

Auditee Name	Position
Amr Hassanin (opening, closing, interview)	HR & Training
Mr. Wael Mansour Abdelhadi (interview)	HSE Staff
Mohamed Salah (interview)	Planning Staff
Sameh Abdelhamid (opening, closing, interview)	HD of OCC. Health
Mohamed Rabie (interview)	Quality Staff
Ola Salah (interview)	Planning Staff
Osama Mohamed Ahmed (opening, closing, interview)	QC Manager
Hesham Shehata Ibrahim	Purchasing Staff

No. of Man-Days

8.0

Audit Findings

Clause No.	Requirement\Departement	Evidence	Result
4.1	Understanding the organization and its context	ISSUE RELATED TO EACH DEPARTMENT HAS BEEN REVIEWED AS CHANGING TEMPERATURE AND ITS IMPACT ON DELAY SOME ACTIVITIES. THE ISSUE SHOWS RELATION TO DEPARTMENT ACTIVITIES, ASPECTS AND HAZARDS. THE ISSUES DEFINED NEED TO BE ENHANCED TO CLEARLY REFLECT THEM TO BUSINESS.	OK

Clause No.	Requirement\Departement	Evidence	Result
4.2	Understanding the needs and expectations of workers and other interested parties	DEPARTMENT INTERESTED PARTIES HAVE BEEN REVIEWED TO BE DETERMINED ON THE INTERESTED PARTY FORMS. THE FORMS SHOW THE REQUIREMENTS OF THE INTERESTED PARTIES. REQUIREMENTS ARE RELATED TO BUSINESS, ASPECTS AND HAZARDS. THE DEFINED INTERESTED PARTIES NEED TO BE ENHANCED AS WELL AS THE REQUIREMENTS NEED TO BE CLEARER.	OK
4.3	Determining the scope of the QMS, EMS and OHSMS	Company has defined the scope related to its IMS as defined on the application. The scope has been verified through the planning and implementation check.	OK
4.4	QMS, EMS and OHSMS and their processes	The process approach has been reviewed for each department. The process related to IMS has been observed, the input, output, resources, control and KPI. The process approach needs to be enhanced to ensure the integration of the QMS, EMS and OHSMS within the business process.	OK
5.1	Leadership and commitment	Top management commitment has been observed during the audit, by providing all possible resources as well as attend the opening and closing meetings	OK
5.2	Quality, Environment and health & safety policy	The integrated management policy (EMS and OHSMS) policy has been reviewed and observed that no clear commitment to fulfil its environmental compliance obligations.	OK

Clause No.	Requirement\Departement	Evidence	Result
5.3	Organizational roles, responsibilities and authorities	Responsibilities for Environment are not clearly defined, Job descriptions for the IMS have been reviewed for different position related to the IMS different responsibilities. The job descriptions not clearly reflect the actual experience, skills, and knowledge within employees and need to be more precise specially in environment. During the reviewing Job description of (Welder) and (HSE manager), found that it did not reflect clearly to real competence of employees working in these positions as it define those conditions to fill welder position is to follow at least 17 years of experience to be competent which is not applicable for Welder Elsaid Ali Mohamed. and the environmental responsibilities for HSE roles are not defined.	OK
5.4	Consultation and participation of workers	Company defined clearly the participation and consultation of workers Evidence participation in firefighting training dated 26/1/2024 Evidence in workers consultation in Risk assessment for cutting and welding.	OK
6.1	Actions to address risks and opportunities	Company defined environmental aspect and impact as metal forming workshop; aspect was growth of bacteria and fungi in damp areas and their impact was health issues and contamination and the was evaluated as medium risk, and company has defined significant impact as respiratory issues for workers from welding emissions. Opportunities are not Cleary defined on environmental issues. Legal registers for the law 4/ 1994 need to be clearly identified.	OK
6.2	Quality, environment and health & Safety objectives and planning to achieve them	The company has defined many objectives to be achieved this year, such as protecting environment from pollution and harmful emissions. All objectives' plans have been reviewed with defined achievement criteria. Some objectives based on applicable requirements like to comply with ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018, or applicable with legal requirements	NC

Clause No.	Requirement\Departement	Evidence	Result
6.3	Planning of changes	COMPANY NEEDS TO CLARIFY M.O.CS AS IT'S ONLY ONE MOC FROM PREVIOUS YEARS IN APPLYING ADDITIONAL MECHANICAL VENTILATION INSIDE ALUMINUM WORKSHOP.	OK
7.1	Resources	- Resources are clearly defined in a purchasing order for personal protective equipment's (PPEs) dated 27/8/2024 - calibration of wire 58mm d. 200mm l. 1960N/mm2 used in test need to be calibrated	NC
7.2	Competence	Evidence of competence are defined as welder Elsaied Ali Mohamed joining date 14/10/2008 has a certificate from DNV Welder's qualification test certification issued on 28/8/2024 and valid to 28/8/2026 upon code of testing ISO9606-1 Radiographic / Ultrasonic (type of test) and a training request from legal department to schedule a NAPC course with EMEND training agent from 13/02/2024 to 26/05/2024. Trainees pass the course test on 18/7/2024 as Eng Walled Saber and Eng Osama Adm.	OK
7.3	Awareness	SAFETY AWARENESS HAVE BEEN CONDUCTED TO SEVERAL DEPARTMENT AS PRESERVATION DEPARTMENT ON FIREFIGHTING PROCESSES.	OK
7.4	Communication	Communication has been confirmed is safety communication form No.1 internal and external communication.	OK
7.5	Documented information	Internal and external issues list &interested parties requirement list not controlled ACCORDING to the company doc.controller	NC
8.1	Operational planning and control	Clarified in the control measures in ship repair and construction dated 1/10/2021	OK
8.2	Determination of requirements for products and services and Emergency preparedness and response	The company has conducted many drills regarding the Environment such as oil spilling drill on ship and open and cut the bottom of the syringe and slide the waste of prolitic material on the floor of the basin 3/10/2024 Customer requirements (red sea port Technical offer 1/829 dated 22/8/2021 for safaga2	OK

Clause No.	Requirement\Departement	Evidence	Result
8.3	Design and development of products and services	This clause is excluded due to the company activities which doesn't include any design activity. The company receives the design and manufacturer according to design and class supervision.	OK
8.4	Control of externally provided processes, products and services	NA	OK
8.5	Production and service provision	During the site visit the production operations has been checked as a sample from the design layout drawing and building the fixtures to build the required tug body piece number according to the detailed drawing. Documented information: Workshop drawing 3518-100-21S1R3 ALIGNMENT REPORT OF PROPELLER SHAFT WITH TURBO COUPLING AFTER CHOCK FAST QC HULL163	OK
8.6	Release of products and services	Inspection report VT& Dimensions QC8 29/7/2024 BOLLARD PULL TRIM LOAD CASE FOR P999TRIALS	OK
8.7	Control of nonconforming outputs	ROOT cause for nonconforming outputs in NCR determined by the inspector (1 23 / 10 / 23)	OK
9.1	Monitoring, measurement, analysis and evaluation	Clified in multi gas detector calibration No. C819. KPI report for (SAFAGA 2) duration from 1/1/2024 – 30/8/2024.	OK
9.2	Internal audit	The internal audit program has been reviewed issued on 7/9/2024 for safety requirement but environmental requirements are not defined clearly on audits checklists, and sample of the audits conducted have been reviewed. dated 11/09/2023 on Mechanical workshops. The IA program doesn't clearly define how the frequencies of auditing have been determined. QMS INTERNAL AUDIT team did not achieve Impartiality	NC
9.3	Management review	Defined and clarified in managers meeting dated 15/10/2024.	OK

Clause No.	Requirement\Departement	Evidence	Result
10.1	General	The company top management has been observed to do his best to improve the service of the company include the infrastructure improvement. The company start to communicate on Egypt vision for 2050 for carbon footprint.	OK
10.2	Nonconformity and corrective action	Needs to be clarified As reviewed an incident of worker (Mohamed Ahmed Elsayed) dated 30/7/2024, but no corrective actions have been addressed. The Root Cause in some NCRs are identified by the auditor	OK
10.3	Continual Improvement	Defined and clarified through light intensity measurement in 2023 below the allowable limits, But in 2024 next light intensity measurements are adequate	OK
-	Use of Logo	The company has established a tool of improvement through the policy, objectives, IA, management review and corrective action	OK

Strength Point

- Top management commitment.
- Top management commitment has been observed during the audit, by providing all possible recourses as well as attend the opening and closing meetings.
- The company top management has been observed to do his best to improve the service of the company include the infrastructure improvement.
- Work instructions are simple and well distributed for different machines.
- Good distributions for safety signs and emergency exist.
- Well distribution for fire extinguishers.
- Suitable covers are applied for machines rotating parts.
- Good natural and Mechanical ventilation inside workshops.

Observation

- Defined interested parties need to be enhanced as well as the requirements need to be clearer.
- The integrated management policy (EMS and OHSMS) policy observed that no clear commitment to

fulfil its environmental compliance obligations.

- Responsibilities for Environment are not clearly defined.
- Job description did not clearly reflect to real competence of employees working in these positions.
- Legal registers for the law 4/ 1994 need to be clearly identified.
- Environmental requirements are not defined clearly on audits checklists.
- Need to clarify the risk and the opportunity in the analysis methods.
- Objectives for safety are not smart.
- Evidence of the corrective actions taken after an incident or near miss needs to be clarified

Minor NCR

- (6.2.1.c) Some quality objectives based on applicable requirements like to comply with ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018, or applicable with legal requirements
- (7.1.5.1) Certificate of calibration of wire D=58mm L=200mm St=1960N/mm² was not available during the audit
- (7.5.2.a) Internal and external issues list &interested parties requirement list not controlled ACCORDING to the company doc.controller
- (9.2..2.c) QMS INTERNAL AUDIT team did not achieve Impartiality

Team Leader Recommendations

The management system of the organization being audited, is recommended to SV2 of ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018

Disclaimer Statement

The judgment of the management system is **based on the sample shown during the audit time.**

Lead Auditor Name:

Adel Belal

Signature